

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Rule 53(b) Divisional Application of:

**Hideki YAMAWAKI**

Serial No.: New (Divisional Application of S.N. 10/338,647) Group Art Unit: **2812** (Expected)

Filed: Herewith

Examiner: **KENNEDY, Jennifer M.** (Expected)

For: **METHOD OF FORMING A FERROELECTRIC FILM AND FABRICATION  
PROCESS OF A SEMICONDUCTOR DEVICE HAVING A FERROELECTRIC  
FILM**

Attorney Docket No.: **021738A**

Customer Number: **38834**

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR §1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

January 30, 2004

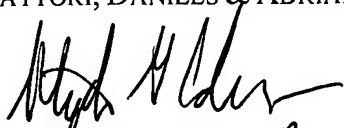
Sir:

This Information Disclosure Statement is being filed in order to comply with Applicant's duty of disclosure under 37 C.F.R. §1.56. The documents listed on the Form PTO-1449 were made of record in parent application Serial No. **10/338,647**.

In the event that any fees are due in connection with the filing of this paper, please charge any fees to Deposit Account No. 50-2866.

Respectfully Submitted,

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<b>INFORMATION DISCLOSURE CITATION PTO-1449</b>	Atty. Docket No. <b>021738A</b>	Serial No.: Not yet assigned
	Applicant(s): <b>Hideki YAMAWAKI</b>	
	Filing Date: <b>January 30, 2004</b>	Group Art Unit: <b>2812</b> (Expected)

### U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
	AA	6,235,402	Shoup et al.	05/22/2001	428	472	
	AB	6,495,412	Zhu et al.	12/17/2002	438	240	
	AC	6,500,678	Aggarwal et al.	12/31/2002	438	3	
	AD	2003/0113986	Sakamoto et al.	06/19/2003	438	584	11/13/2002
	AE	6,177,361	Gilton	01/23/2001	438	785	
	AF	6,589,839	Basceri et al.	07/08/2003	438	254	3/10/2000
	AG	6,204,069	Summerfelt et al.	03/20/2001	438	3	
	AH	6,524,867	Yang et al.	02/25/2003	438	3	12/28/2000
	AI	4,812,300	Quinlan et al.	03/14/1989	423	404	

### FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
	AJ	11-142395	05/28/1999	Japan	
	AK	2000-307077	11/02/2000	Japan	
	AL				

### OTHER DOCUMENTS

	AM	
	AN	
Examiner		Date Considered